

# Retirement Plan Services

## What Are Your Next Steps After 408(b)(2)?

Mark Mensack, LLC. Independent Fiduciary Consulting will address the opportunity for advisors and the responsibility for plan sponsors in the aftermath of fee disclosure and what you need to know in a post 408(b)(2) world.



**William P. Simon, Jr.**, *Managing Director*, Brinker Capital

Bill is responsible for the management, guidance and expansion of the Retirement Plan Services team and program. Bill also serves on the firm's management and operating committees. Bill has more than 30 years of investment experience working with financial advisors, plan sponsors and institutional and high net worth investors with a specialized focus in sales and distribution. Prior to joining the firm, he served as Managing Partner at PPB Advisors, LLC, where he was responsible for building an alternative securities start-up. Previously, Mr. Simon spent 22 years at American Funds where he held a variety of senior positions including Division Manager – Mid Atlantic, and National Sales Manager for the Eastern U.S. Bill also held positions at Van Kampen Merritt, and with Federated Investors, where he began his career. Bill graduated from the University of Pittsburgh with a B.A. in economics and holds Series 7, 65, 63 and 26 licenses.



**Mark D. Mensack AIFA**, *Independent Fiduciary Consultant*, Mark D. Mensack, LLC

Mark began his professional financial services career in 1995, and advocating for fiduciaries since 2009. Prior to 1995, he was a Commissioned Officer in the US Army where he served in the United States and South Korea. While he spent most of this time as a helicopter pilot, his final assignment was an instructor of Philosophy and Ethics at the United States Military Academy in West Point, New York.

Mark has earned a Bachelor's from the University of Scranton in Philosophy, a Master's in Philosophy from the University of Pennsylvania, and has earned credits towards his doctorate at Temple University. He also holds the Accredited Investment Fiduciary Analyst designation (AIFA®) through the Center for Fiduciary Studies. He has also been certified as a "Fee Disclosure Expert" (FDE) by Dalbar, and has been retained as a 401k hidden fee subject matter expert by multiple law firms.

Given his Philosophy background, Mark focuses on the ethical imperative of fiduciary responsibility to educate plan sponsors and other fiduciaries on their fiduciary duties. He has been quoted in publications such as the Philadelphia Business Journal, Advisor One & Reuters and speaks to national and state organizations on fiduciary and professional ethics issues, including the American Institute of CPAs, the Center for Fiduciary Studies, the Pennsylvania Institute of CPAs, the New Jersey Society of CPAs, and the Pennsylvania State Society of HR Management (SHRM).

He currently serves on the Board of Trustees for the West Point Society of Philadelphia, and has served on the Boards of the University of Scranton, USO of Pennsylvania & Southern New Jersey.

### DATE/TIME:

Wednesday, October 10  
2:00 PM ET.

### CALL-IN NUMBER:

877-303-4390

### CONFERENCE ID #:

36127947

### WEBEX LINK:

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