



October 14, 2011

Mark D. Mensack
108 Weston Drive
Cherry Hill, NJ 08003

Subject: FINRA Dispute Resolution Arbitration Number 10-01687
Morgan Stanley Smith Barney LLC, vs. Mark D. Mensack vs. Morgan Stanley & Co.,
Inc., Peter Prunty and Rich Maratea

Dear Mr. Mensack:

This is sent to confirm our telephone conversation on October 12, 2011, and in response to your September 22, 2011 and October 10, 2011 e-mail correspondence to FINRA Dispute Resolution regarding the above referenced matter. In your correspondence, you expressed concern that the CD recordings of the hearings that were provided to your counsel were incomplete. You requested another copy of the recording.

I listened to the recordings of the hearings. The CD that was sent to your counsel on July 27, 2011 contains the full set of recordings of the hearings that we have on file. I apologize for any confusion regarding sending an additional CD recording to you.

Very truly yours,

Arthur Baumgartner
Case Administrator
Phone: 212-858-4200
Fax: 301-527-4904
NEProcessingCenter@finra.org

AB1:hmj:LC53A
idr: 07/22/2008

RECIPIENTS:

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